# Approximation Spaces 

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#### Abstract

Using the concept of an approximation space we describe certain analogies between spaces of sequences, functions and operators. In order to illustrate the power of this method, some old and new theorems about distributions of Fourier coefficients and eigenvalues are established.


The aim of this paper is to describe some analogies between well-known spaces of sequences, functions and operators which have for the first time been observed by J. Peetre. For this purpose we develop a theory of so-called approximation spaces. Similar concepts were already investigated by P. L. Butzer and K. Scherer, J. A. Brudnij and N. J. Krugljak, as well as by J. Peetre and G. Sparr within the framework of their interpolation theory of abelian groups. For the convenience of the reader and in order to be selfcontained, we present some of their basic theorems with new and very simple proofs, and apply them to the main examples.

From our point of view, the most interesting results are to be found in the last chapter, where we treat some significant applications. First, we investigate the influence of smoothness properties of periodic functions on the behaviour of their Fourier coefficients. This is a special case of a more general problem. If we remember that the complex Fourier coefficients coincide with the eigenvalues of the corresponding convolution operators, it suggests itself to ask, how do the distributions of eigenvalues of integral operators depend on certain properties of the generating kernels? Results can be obtained via a generalization of Weyl's theorem which we prove by the method of related operators. The original proof (unpublished) of this remarkable theorem is due to B . Carl and H. König.

## 1. Definitions and Elementary Properties

In this chapter we introduce the concept of an approximation space and formulate some elementary properties.

### 1.1. Quasi-Banach Spaces

A quasi-norm is a non-negative function $\|\cdot\|_{X}$ defined on a (real or complex) linear space $X$ for which the following conditions are satisfied:
(1) If $\|f\|_{X}=0$ for some $f \in X$, then $f=0$.
(2) $\|\lambda f\|_{X}=|\lambda|\|f\|_{X}$ for $f \in X$ and all scalars $\lambda$.
(3) There exists a constant $c_{X} \geqslant 1$ such that

$$
\left.\|f+g\|_{X} \leqslant c_{X}\|f\|_{X}+\|g\|_{X}\right] \quad \text { for } \quad f, g \in X .
$$

The quasi-norms $\|\cdot\|_{X}^{(1)}$ and $\|\cdot\|_{X}^{(2)}$ are said to be equivalent if

$$
\|f\|_{X}^{(2)} \leqslant c_{1}\|f\|_{X}^{(1)} \quad \text { and } \quad\|f\|_{X}^{(1)} \leqslant c_{2}\|f\|_{X}^{(2)} \quad \text { for all } f \in X,
$$

where $c_{1}$ and $c_{2}$ are suitable constants.
Every quasi-norm generates a metrisable Hausdorff topology on the underlying linear space. For two equivalent quasi-norms the corresponding topologies coincide.

A quasi-Banach space is a linear space $X$ equipped with a quasi-norm $\|\cdot\|_{X}$ such that every Cauchy sequence is convergent.

A quasi-norm $\|\cdot\|_{X}$ is called a p-norm $(0<p \leqslant 1)$ if

$$
\|f+g\|_{X}^{p} \leqslant\|f\|_{X}^{p}+\|g\|_{X}^{p} \quad \text { for } \quad f, g \in X .
$$

Then condition (3) is satisfied with $c_{X}:=2^{1 / p-1}$. Conversely, for every quasinorm $\|\cdot\|_{X}$ there exists an equivalent $p$-norm $\|\cdot\|_{X}^{0}$, if we determine $p$ by $1 / p:=1+\log _{2} c_{X}$. Clearly, every $p$-norm is also a $q$-norm for $0<q<p \leqslant 1$.

Let $X$ and $Y$ be quasi-Banach spaces. Then $\mathcal{L}(X, Y)$ denotes the linear space of all (bounded linear) operators $T$ acting from $X$ into $Y$. Putting

$$
\|T\|_{\mathscr{L}^{\prime}}:=\sup \left\{\|T f\|_{Y}:\|f\|_{X} \leqslant 1\right\}
$$

we get a quasi-norm on $\mathcal{L}(X, Y)$.

### 1.2. Approximation Schemes

An approximation scheme $\left(X, A_{n}\right)$ is a quasi-Banach space $X$ together with a sequence of subsets $A_{n}$ such that the following conditions are satisfied:
(1) $A_{1} \subseteq A_{2} \subseteq \cdots \subseteq X$.
(2) $\lambda A_{n} \subseteq A_{n}$ for all scalars $\lambda$ and $n=1,2, \ldots$.
(3) $A_{m}+A_{n} \subseteq A_{m+n}$ for $m, n=1,2, \ldots$.

We put $A_{0}:=\{o\}$. Obviously, $A:=\bigcup_{n=1}^{\infty} A_{n}$ is a linear subset.

### 1.3. Approximation Numbers

Let $\left(X, A_{n}\right)$ be an approximation scheme. For $f \in X$ and $n=1,2, \ldots$ the $n$th approximation number is defined by

$$
\alpha_{n}(f, X):=\inf \left\{\|f-a\|_{X}: a \in A_{n-1}\right\} .
$$

Now some elementary properties are listed:
(1) $\|f\|_{X}=\alpha_{1}(f, X) \geqslant \alpha_{2}(f, X) \geqslant \cdots \geqslant 0$ for $f \in X$.
(2) $\alpha_{n}(\lambda f, X)=|\lambda| \alpha_{n}(f, X)$ for $f \in X$, all scalars $\lambda$, and $n=1,2, \ldots$.
(3) $\alpha_{m+n-1}(f+g, X) \leqslant c_{X}\left[\alpha_{m}(f, X)+\alpha_{n}(g, X)\right]$ for $f, g \in X$ and $m, n=1,2, \ldots$.
It is worthwhile mentioning that $\alpha_{n}(f, X)$ is in general not a continuous function of $f$. This unpleasant situation can be avoided if we use an equivalent $p$-norm on $X$.

### 1.4. Approximation Spaces

Let $0<\rho<\infty$ and $0<u \leqslant \infty$. Then the approximation space $X_{u}^{\rho}$, or more precisely $\left(X, A_{n}\right)_{u}^{p}$, consists of all elements $f \in X$ such that $\left(n^{\rho-1 / u} \alpha_{n}(f, X)\right) \in l_{u}$, where $n=1,2, \ldots$. We put

$$
\|f\|_{x_{u}}:=\left\|\left(n^{\rho-1 / u_{u}} \alpha_{n}(f, X)\right)\right\|_{l_{u}} \quad \text { for } \quad f \in X_{u}^{\rho} .
$$

The proof of the following result is straightforward.
Proposition 1. $X_{u}^{p}$ is a quasi-Banach space.
Remark. If $X$ is a Banach space and we have $A_{n}+A_{n}=A_{n}$ for $n=$ $1,2, \ldots$, then $X_{u}^{o}$ with $1 \leqslant u \leqslant \infty$ is a Banach space, as well. This case was treated in detail by Butzer and Scherer [6].

Now we formulate a very useful criterion.
Proposition 2. An element $f \in X$ belongs to $X_{u}^{o}$ if and only if $\left(2^{k o} \alpha_{2 k}(f, X)\right) \in l_{u}$, where $k=0,1, \ldots$. Moreover,

$$
\|f\|_{x_{u}^{2 p}}^{\exp _{p}}:=\left\|\left(2^{k \rho} \alpha_{2^{k}}(f, X)\right)\right\|_{l_{u}}
$$

defines an equivalent quasi-norm on $X_{u}^{o}$.

Next we state that the scale of approximation spaces is lexicographically ordered.

Proposition 3. There holds

$$
X_{u_{1}}^{o_{1}} \supseteq X_{u_{2}}^{p_{2}} \quad \text { for } \quad 0<\rho_{1}<\rho_{2}<\infty \text { and } 0<u_{1}, u_{2} \leqslant \infty .
$$

and

$$
X_{u_{1}}^{o} \subseteq X_{u_{2}}^{o} \quad \text { for } \quad 0<\rho<\infty \text { and } 0<u_{1}<u_{2} \leqslant \infty
$$

Finally, we mention a deep result of Peetre and Sparr [14], stating that the approximation spaces form a real interpolation scale. See also [6, Korollar 2.3.1].

Proposition 4. Let $0<\theta<1$ and $\rho=(1-\theta) \rho_{0}+\theta \rho_{1}$, where $\rho_{0} \neq \rho_{1}$. Then

$$
\left(X_{u_{0}}^{p_{0}}, X_{u_{1}}^{p_{1}}\right)_{\theta, u}=X_{u}^{\rho}
$$

## 2. Examples

In this chapter we introduce some important examples of approximation spaces.

### 2.1. Sequence Spaces

As is usual, $l_{p}$ with $0<p \leqslant \infty$ stands for the quasi-Banach space of all $p$ summable scalar sequences $x=\left(\xi_{m}\right)$. Let $f_{n}$ denote the set of all scalar sequences $a=\left(\alpha_{m}\right)$ possessing at most $n$ coordinates $\alpha_{m} \neq 0$. We also consider the subset $o_{n}$ which consists of all scalar sequences such that $\alpha_{m}=0$ if $m>n$.

It follows easily that $\left(l_{p}, f_{n}\right)$ and $\left(l_{p}, o_{n}\right)$ are approximation schemes. We put

$$
s_{p, u}^{\rho}:=\left(l_{p}, f_{n}\right)_{u}^{\rho} \quad \text { and } \quad b_{p, u}^{\rho}:=\left(l_{p}, o_{n}\right)_{u}^{\rho}
$$

Later on we shall show that $s_{p, u}^{\rho}$ coincides with the well-known Lorentz sequence space $l_{r, u}$, where $1 / r=\rho+1 / p$. The sequence spaces $b_{p, u}^{o}$ can be considered as the discrete counterpart of the Besov function spaces which will be defined in the next section; cf. also [19].

### 2.2. Function Spaces

As is usual, $L_{p}$ with $0<p \leqslant \infty$ stands for the quasi-Banach space of all $p$ integrable scalar functions $f$ defined on the unit interval.

Let $F_{n}$ denote the set of all trigonometrical polynomials

$$
a(s)=\alpha_{1}+\sum_{m=1}^{\infty}\left[\alpha_{2 m} \sin 2 \pi m s+\alpha_{2 m+1} \cos 2 \pi m s\right]
$$

possessing at most $n$ coefficients $\alpha_{m} \neq 0$. We also consider the subset $O_{n}$ which consists of all trigonometrical polynomials such that $\alpha_{m}=0$ if $m>n$. It easily turns out that $\left(L_{p}, F_{n}\right)$ and $\left(L_{p}, O_{n}\right)$ are approximation schemes. We put

$$
S_{p, u}^{\rho}:=\left(L_{p}, F_{n}\right)_{u}^{\rho} \quad \text { and } \quad B_{p, u}^{\rho}:=\left(L_{p}, O_{n}\right)_{u}^{\rho}
$$

A detailed theory of $S_{p, u}^{\rho}$ spaces has not been developed until now. However, there is an important result of Stečkin [20] which states that $S_{2,1}^{1 / 2}$ consists precisely of those periodic functions having an absolutely convergent Fourier series. On the other hand, it can be seen from approximation theory that $B_{p, u}^{o}$ are the famous Besov function spaces; cf. $[2,3,6,13,21]$.

Note. In order to simplify our notation we will not indicate by an additional symbol that all spaces under consideration consist of periodic functions.

The above definitions extend to the case of $X$-valued functions, where $X$ is a quasi-Banach space. Then we denote by $\left[L_{p}, X\right]$ the quasi-Banach space of all measurable and absolutely $p$-integrable $X$-valued functions $\mathbf{f}$ defined on the unit interval; cf. [22]. If the sets $\left[F_{n}, X\right]$ and $\left[O_{n}, X\right]$ are introduced canonically, we obtain the approximation spaces

$$
\left[S_{p, u}^{o}, X\right]:=\left(\left[L_{p}, X\right],\left[F_{n}, X\right]\right)_{u}^{\rho}
$$

and

$$
\left[B_{p, u}^{\rho}, X\right]:=\left(\left[L_{p}, X\right],\left[O_{n}, X\right]\right)_{u}^{\rho} .
$$

### 2.3. Operator Spaces

Let $\mathcal{L}(E, F)$ denote the Banach space of all (bounded linear) operators acting from the Banach space $E$ into the Banach space $F$. An operator $S \in \mathcal{L}(E, F)$ is called absolutely $p$-summing ( $0<p<\infty$ ) if there exists a constant $\sigma \geqslant 0$ such that

$$
\left(\sum_{i=1}^{n}\left\|S x_{i}\right\|_{F}^{p}\right)^{1 / p} \leqslant \sigma \sup \left\{\left(\sum_{i=1}^{n}\left|\left\langle x_{i}, a\right\rangle\right|^{p}\right)^{1 / p}:\|a\|_{E^{\prime}} \leqslant 1\right\}
$$

for all finite systems of elements $x_{1}, \ldots, x_{n} \in E$. We put

$$
\|S\|_{\mathscr{P}_{p}}=: \inf \sigma .
$$

The quasi-Banach space of all absolutely $p$-summing operators from $E$ into $F$ will be denoted by $\mathfrak{P}_{p}(E, F)$.

It is convenient to define $\mathfrak{P}_{\infty}(E, F):=\mathfrak{L}(E, F)$, and $\|S\|_{H_{\infty}}:=\|S\|_{\mathcal{L}}$. Let $\mathscr{F}_{n}(E, F)$ be the set of all operators $A \in \mathcal{L}(E, F)$ having an image $M(A):=$ $\{A x: x \in E\}$ which is at most $n$-dimensional. It easily turns out that $\left(\mathfrak{P}_{p}(E, F), \mathfrak{F}_{n}(E, F)\right)$ is an approximation scheme. We put

$$
\mathfrak{P}_{p, u}^{\rho}(E, F):=\left(\mathfrak{P}_{p}(E, F), \mathfrak{F}_{n}(E, F)\right)_{u}^{\rho}
$$

In the special case $p=\infty$ we also use the notation $\mathfrak{£}_{u}^{\rho}(E, F)$.

## 3. Theorems

In the sequel we prove some fundamental theorems.

### 3.1. Representation Theorem

First of all we establish the famous Representation Theorem which, in the case of function spaces, goes back to Besov [3] and Amanov [1]. The analogous result for operator spaces is due to Pietsch [16, 17]. We also mention that a very general theorem of this type was recently proved by Brudnij and Krugljak [4,5]. See also the Equivalence Theorem in [6].

Representation Theorem. Let $\left(X, A_{n}\right)$ be an approximation scheme. Then $f \in X$ belongs to $X_{u}^{0}$ if and only if there exist $a_{k} \in A_{2^{k}}$ such that

$$
f=\sum_{k=0}^{\infty} a_{k} \quad \text { and } \quad\left(2^{k \rho}\left\|a_{k}\right\|_{X}\right) \in l_{u}
$$

Moreover,

$$
\|f\|_{X_{u}^{p}}^{\text {rep }}:=\inf \left\|\left(2^{k \rho}\left\|a_{k}\right\|_{X}\right)\right\|_{I_{u}}
$$

where the infimum is taken over all possible representations, defines an equivalent quasi-norm on $X_{u}^{\rho}$.

Necessity. Let $f \in X_{u}^{p}$. Then we choose $a_{k}^{*} \in A_{2^{k-1}}$ such that

$$
\left\|f-a_{k}^{*}\right\|_{X} \leqslant 2 \alpha_{2 k}(f, X)
$$

Put $a_{0}:=o, a_{1}:=0$, and $a_{k+2}:=a_{k+1}^{*}-a_{k}^{*}$ for $k=0,1, \ldots$. Obviously we have $a_{k} \in A_{2^{k}}$, and

$$
f=\lim _{k} a_{k}^{*}=\sum_{k=0}^{\infty} a_{k} .
$$

Moreover, it follows from

$$
\left\|a_{k+2}\right\|_{X} \leqslant c_{X}\left[\left\|f-a_{k+1}^{*}\right\|_{X}+\left\|f-a_{k}^{*}\right\|_{X}\right] \leqslant 4 c_{X} \alpha_{2 k}(f, X)
$$

that $\left(2^{k \rho}\left\|a_{k}\right\|_{X}\right) \in l_{u}$, and we obtain the estimate

$$
\|f\|_{X_{u}}^{\| \text {ep }} \leqslant 2^{2 \rho+2} c_{x}\|f\|_{x_{u}}^{\exp } .
$$

Sufficiency. Without loss of generality we may suppose that $\|\cdot\|_{X}$ is a $p$ norm with $0<p<u$. If $f \in X$ can be written in the form $f=\sum_{k=0}^{\infty} a_{k}$ such that $a_{k} \in A_{2^{k}}$ and $\left(2^{k p}\left\|a_{k}\right\|_{X}\right) \in I_{u}$, then it follows from $\sum_{k=0}^{h-1} a_{k} \in A_{2^{k-1}}$ that

$$
\alpha_{2 h}(f, X)^{p} \leqslant\left\|f-\sum_{k=0}^{h-1} a_{k}\right\|_{X}^{p} \leqslant \sum_{k=h}^{\infty}\left\|a_{k}\right\|_{X}^{p} .
$$

In the case $0<u<\infty$ we put $q:=u / p$, and choose $\alpha$ such that $\rho p>\alpha>0$. Then

$$
\begin{aligned}
\sum_{h=0}^{\infty}\left[2^{h \rho} \alpha_{2 h}(f, X)\right]^{u} & \leqslant \sum_{h=0}^{\infty} 2^{h \rho u}\left(\sum_{k=h}^{\infty} 2^{-k \alpha} 2^{k \alpha}\left\|a_{k}\right\|_{X}^{p}\right)^{q} \\
& \leqslant \sum_{h=0}^{\infty} 2^{h \rho u}\left(\sum_{k=h}^{\infty} 2^{-k \alpha q^{\prime}}\right)^{q / q^{\prime}}\left(\sum_{k=h}^{\infty} 2^{k \alpha q}\left\|a_{k}\right\|_{X}^{u}\right) \\
& \leqslant c_{1} \sum_{h=0}^{\infty} 2^{h(\rho u-\alpha q)} \sum_{k=h}^{\infty} 2^{k \alpha q}\left\|a_{k}\right\|_{X}^{u} \\
& \leqslant c_{1} \sum_{k=0}^{\infty} 2^{k \alpha q}\left\|a_{k}\right\|_{X}^{u} \sum_{h=1}^{k} 2^{h(\rho u-\alpha q)} \\
& \leqslant c_{2} \sum_{k=0}^{\infty}\left[2^{k \rho}\left\|a_{k}\right\|_{X}\right]^{u}<\infty .
\end{aligned}
$$

This yields

$$
\|f\|_{X_{u}}^{\exp } \leqslant c\left\|\left(2^{k \rho}\left\|a_{k}\right\|_{x}\right)\right\|_{l_{u}} .
$$

Therefore we have $f \in X_{u}^{p}$ and $\|f\|_{X_{u}^{x p}}^{\mathrm{exp}} \leqslant c\|f\|_{X_{u}}^{\text {rep }}$. The case $u=\infty$ can be treated analogously.

As an immediate consequence of the Representation Theorem we get
Proposition 5. If $0<u<\infty$, then the linear subset $A$ is dense in $X_{u}^{\rho}$.
Proof. It easily turns out that every series $f=\sum_{k=0}^{\infty} a_{k}$ with $a_{k} \in A_{2 k}$ and $\left(2^{k \rho}\left\|a_{k}\right\|_{X}\right) \in l_{u}$ is even convergent relative to the quasi-norm $\|\cdot\|_{X_{p}}$.

An approximation scheme $\left(X, A_{n}\right)$ is called linear if there exists a uniformly bounded sequence of linear projections $P_{n}$ mapping $X$ onto $A_{n}$.

Then it follows that

$$
\left\|f-P_{n-1} f\right\|_{X} \leqslant c \alpha_{n}(f, X)
$$

for all $f \in X$ and $n=1,2, \ldots$, where $c:=c_{X}\left[1+\sup \left\|P_{n}\right\|_{\mathscr{E}}\right]$.
Remark. In this case Butzer and Scherer [6] speak of an idempotent approximation procedure.

With the help of the projections

$$
Q_{k}:=P_{2^{k+1-1}}-P_{2^{k-1}}
$$

we can formulate the
Linear Representation Theorem. Let $\left(X, A_{n}\right)$ be a linear approximation scheme. Then $f \in X$ belongs to $X_{u}^{p}$ if and only if

$$
\left(2^{k \rho}\left\|Q_{k} f\right\|_{X}\right) \in l_{u}
$$

In this case we have

$$
f=\sum_{k=0}^{\infty} Q_{k} f .
$$

Moreover,

$$
\|f\|_{x_{u}}^{\operatorname{lin}}:=\left\|\left(2^{k \rho}\left\|Q_{k} f\right\|_{x}\right)\right\|_{l_{u}}
$$

is an equivalent quasi-norm on $X_{u}^{\rho}$.
Example 1. The approximation scheme $\left(l_{p}, o_{n}\right)$ is linear, since the sequence of the canonical projections has the required property.

Example 2. The approximation scheme $\left(L_{p}, O_{n}\right)$ with $1<p<\infty$ is linear, since the sequence of the Fourier projections has the required property. The linearity fails in the limit cases $p=1$ and $p=\infty$. For $0<p<1$ there even does not exist any bounded linear projection from $L_{p}$ onto $O_{n}$.

### 3.2. Reiteration Theorem

For every approximation scheme ( $X, A_{n}$ ) we have $A_{n} \subseteq X_{u}^{\rho}$. Therefore it follows that ( $X_{u}^{p}, A_{n}$ ) is an approximation scheme, as well. This means that we can reiterate the construction of approximation spaces.

Lemma 1. There exists a constant $c>0$ such that

$$
n^{\rho} \alpha_{2 n-1}(f, X) \leqslant c \alpha_{n}\left(f, X_{u}^{\rho}\right) \quad \text { for all } f \in X_{u}^{\rho} \text { and } n=1,2, \ldots .
$$

Proof. We choose $a_{1}, a_{2} \in A_{n-1}$ satisfying the estimates

$$
\left\|f-a_{1}\right\|_{X_{u}^{o}} \leqslant 2 \alpha_{n}\left(f, X_{u}^{o}\right) \text { and }\left\|f-a_{1}-a_{2}\right\|_{X} \leqslant 2 \alpha_{n}\left(f-a_{1}, X\right) .
$$

Then

$$
\begin{aligned}
\alpha_{2 n-1}(f, X) & \leqslant\left\|f-\left(a_{1}+a_{2}\right)\right\|_{X} \leqslant 2 \alpha_{n}\left(f-a_{1}, X\right) \leqslant 2 n^{-\rho}\left\|f-a_{1}\right\|_{x_{\infty}} \\
& \leqslant c_{0} n^{-\rho}\left\|f-a_{1}\right\|_{X_{u}} \leqslant 2 c_{0} n^{-\rho} \alpha_{n}\left(f, X_{u}^{p}\right) .
\end{aligned}
$$

Lemma 2. There exists a constant $c>0$ such that

$$
\|a\|_{X_{x}} \leqslant c n^{o}\|a\|_{X} \quad \text { for all } \quad a \in A_{n} \text { and } n=1,2, \ldots
$$

Proof. We consider the case where $0<u<\infty$. Then

$$
\begin{aligned}
\|a\|_{X_{u}} & =\left(\sum_{k=1}^{n}\left[k^{\rho-1 / u} \alpha_{k}(a, X)\right]^{u}\right)^{1 / u} \\
& \leqslant\left(\sum_{k=1}^{n} k^{\rho u-1}\right)^{1 / u}\|a\|_{X} \leqslant c n^{\rho}\|a\|_{X} .
\end{aligned}
$$

Remark. Within the framework of [6, Definition und Lemma 2.3.1] the results of Lemmas 1 and 2 are said to be a Jackson inequality and a Bernstein inequality, respectively.

Now we are in a position to establish the basic theorem of this section. Similar results are well known within the context of interpolation spaces, cf. [2, 3.5.3], and [21, 1.10.2]. See also [6, Korollar 2.3.2].

Reiteration Theorem. Let ( $X, A_{n}$ ) be an approximation scheme. Then $\left(X_{u}^{\rho}\right)_{v}^{\sigma}=X_{v}^{p+\sigma}$.

Proof. If $f \in\left(X_{u}^{o}\right)_{v}^{\sigma}$, we have $\left(2^{k \sigma} \alpha_{2 k}\left(f, X_{u}^{p}\right)\right) \in l_{v}$. Lemma 1 yields $\left(2^{k(\rho+\sigma)} \alpha_{2 k}(f, X)\right) \in l_{v}$. Hence $f \in X_{v}^{\rho+\sigma}$. This proves that $\left(X_{u}^{\rho}\right)_{v}^{\sigma} \subseteq X_{v}^{p+\sigma}$. Now let $f \in X_{v}^{\rho+\sigma}$, and consider a representation $f=\sum_{k=0}^{\infty} a_{k}$ such that $a_{k} \in A_{2^{k}}$ and $\left(2^{k(\rho+\sigma)}\left\|a_{k}\right\|_{X}\right) \in l_{v}$. Then we obtain from Lemma 2 that $\left(2^{k \sigma}\left\|a_{k}\right\|_{X_{u}}\right) \in$ $l_{v}$. Consequently $f \in\left(X_{u}^{o}\right)_{v}^{\sigma}$. So we have $X_{v}^{o+\sigma} \subseteq\left(X_{u}^{o}\right)_{v}^{\sigma}$.

Example 1. Since $\left(\alpha_{n}\left(x, l_{\infty}\right)\right)$ is the non-increasing rearrangement of $x \in l_{\infty}$, we get $s_{\infty, u}^{\rho}=l_{r, u}$ with $1 / r=\rho$, where $l_{r, u}$ is the well-known Lorentz sequence space. Now the Reiteration Theorem yields

$$
s_{p, u}^{\rho}=\left(s_{\infty, p}^{1 / p}\right)_{u}^{\rho}=s_{\infty, u}^{1 / p+\rho}=l_{r, u} \quad \text { with } \quad 1 / r=\rho+1 / p .
$$

### 3.3. Transformation Theorem

The next result is very simple. However, it often happens in mathematics that trivial theorems can be extremely powerful.

Transformation Theorem. Let $\left(X, A_{m}\right)$ and $\left(Y, B_{n}\right)$ be approximation schemes. Let $T \in \mathcal{L}(X, Y)$. If there are constants $\lambda>0$ and $c>0$ such that

$$
T\left(A_{m}\right) \subseteq B_{n} \quad \text { whenever } \quad n \geqslant c m^{\lambda}
$$

then $T\left(X_{u}^{\lambda_{\rho}}\right) \subseteq Y_{u}^{\rho}$.
Proof. We consider the case $\lambda \geqslant 1$ and put

$$
N_{m}:=\left\{n: c(m-1)^{\lambda}+1 \leqslant n<c m^{\lambda}+1\right\} \quad \text { for } \quad m=1,2, \ldots .
$$

Then

$$
\begin{aligned}
& \operatorname{card}\left(N_{m}\right) \leqslant c_{1} m^{\lambda-1}, \\
& n^{\rho-1 / u} \leqslant c_{2} m^{\lambda(\rho-1 / u)} \quad \text { for } \quad n \in N_{m},
\end{aligned}
$$

and

$$
\alpha_{n}(T f, Y) \leqslant\|T\|_{y} \alpha_{m}(f, X) \quad \text { for } \quad f \in X \text { and } n \in N_{m}
$$

Therefore, if $0<u<\infty$, we obtain

$$
\begin{aligned}
\|T f\|_{Y_{u}} & =\left(\sum_{m=1}^{\infty} \sum_{N_{m}}\left[n^{\rho-1 / u} \alpha_{n}(T f, Y)\right]^{u}\right)^{1 / u} \\
& \leqslant\left(\sum_{m=1}^{\infty} c_{1} m^{\lambda-1}\left[c_{2} m^{\lambda(\rho-1 / u)}\|T\|_{久} \alpha_{m}(f, X)\right]^{u}\right)^{1 / u} \leqslant c\|f\|_{X_{u}^{\rho}} .
\end{aligned}
$$

This proves the assertion for $\lambda \geqslant 1$ and $0<u<\infty$. The remaining cases can be treated similarly.

Many applications of the Transformation Theorem will be given in the next chapter.

### 3.4. Embedding Theorem

In the sequel we consider a couple of quasi-Banach spaces $X$ and $Y$ which are continuously embedded into some linear topological Hausdorff space. Furthermore, let ( $X, A_{n}$ ) and ( $Y, A_{n}$ ) be approximation schemes built from the same sequence of subsets.

Lemma 3. Let $0<\rho<\sigma<\infty$ and $0<u \leqslant \infty$. Suppose that $Y$ can be $v$ normed with $0<v \leqslant 1$. Then the following conditions are equivalent:
(1) There exists a constant $c_{0}>0$ such that

$$
\|a\|_{Y} \leqslant c_{0} n^{\sigma}\|a\|_{X} \quad \text { for all } a \in A_{n} \text { and } n=1,2, \ldots
$$

(2) There exists a constant $c_{\rho, u}>0$ such that

$$
\|a\|_{Y} \leqslant c_{\rho, u} n^{\sigma-p}\|a\|_{X_{u}} \quad \text { for all } a \in A_{n} \text { and } n=1,2, \ldots
$$

(3) There exists a constant $c_{\sigma, v}>0$ such that

$$
\|a\|_{Y} \leqslant c_{\sigma, v}\|a\|_{X_{r}} \quad \text { for all } a \in A
$$

Proof. The implication (2) $\Rightarrow$ (1) follows immediately from Lemma 2. Because of $X_{v}^{\sigma}=\left(X_{u}^{\rho}\right)_{v}^{\sigma-\rho}$ and Lemma 2 we have

$$
\|a\|_{x_{\tau}^{\sigma}} \leqslant c n^{\sigma-\rho}\|a\|_{x_{u}}
$$

for all $a \in A_{n}$ and $n=1,2, \ldots$. Therefore (3) $\Rightarrow$ (2). By hypothesis we may suppose that $\|\cdot\|_{Y}$ is a $v$-norm. Then, given $a \in A$, there is a representation $a=\sum_{k=0}^{\infty} a_{k}$ such that $a_{k} \in A_{2 k}$, and $\left\|\left(2^{k \sigma}\left\|a_{k}\right\|_{x}\right)\right\|_{t_{r}} \leqslant 2\|a\|_{X_{r}}^{\text {rep }}$. Assuming (1) we now obtain

$$
\|a\|_{Y} \leqslant\left\{\sum_{k=0}^{\infty}\left\|a_{k}\right\|_{Y}^{v}\right\}^{1 / v} \leqslant 2 c_{0}\|a\|_{X_{r}^{p}}^{\mathrm{rep}} \leqslant c_{\sigma, v}\|a\|_{X_{r} .}
$$

Hence (1) $\Rightarrow$ (3).
Now we are ready to establish the famous
Embedding Theorem. Let $X$ and $Y$ be quasi-Banach spaces which are continuously embedded into some linear topological Hausdorff space. Furthermore, let $\left(X, A_{n}\right)$ and $\left(Y, A_{n}\right)$ be approximation schemes built from the same sequence of subsets. Suppose that there exist constants $\sigma>0$ and $c>0$ such that

$$
\|a\|_{Y} \leqslant c n^{\sigma}\|a\|_{X} \quad \text { for all } a \in A_{n} \text { and } n=1,2, \ldots .
$$

Then $X_{u}^{p+\sigma} \subseteq Y_{u}^{p}$. In particular, if $Y$ can be $v$-normed with $0<v \leqslant 1$, we have $X_{v}^{\sigma} \subseteq Y$.

Proof. Since the linear subset $A$ is dense in $X_{v}^{\sigma}$, condition (3) of the preceding lemma yields $X_{v}^{\sigma} \subseteq Y$. Now it follows from the Reiteration Theorem that $X_{u}^{o+\sigma} \subseteq\left(X_{v}^{\sigma}\right)_{u}^{p} \subseteq Y_{u}^{p}$.

Example 1. Let $0<q<p \leqslant \infty$. Because of Hölder's inequality we have

$$
\|a\|_{L_{q}} \leqslant n^{1 / q-1 / p}\|a\|_{\iota_{p}} \quad \text { for all } a \in f_{n} \text { and } n=1,2, \ldots
$$

This yields the embeddings

$$
s_{p, u}^{\rho} \subseteq s_{q, u}^{\sigma} \quad \text { if } \quad \rho+1 / p=\sigma+1 / q .
$$

From the formula $l_{r, u}=s_{p, u}^{\rho}$ with $1 / r=\rho+1 / p$ we see that even identity holds.

Analogously we get

$$
b_{p, u}^{o} \subseteq b_{q, u}^{\sigma} \quad \text { if } \quad \rho+1 / p=\sigma+1 / q
$$

Example 2. Let $1 \leqslant p<q \leqslant \infty$. Then Nikolskij's inequality [13, 3.4.3] states that

$$
\|a\|_{L_{q}} \leqslant c n^{1 / p-1 / q}\|a\|_{L_{p}} \quad \text { for all } a \in O_{n} \text { and } n=1,2, \ldots
$$

This yields the embeddings

$$
B_{p, u}^{\rho} \subseteq B_{q, u}^{\sigma} \quad \text { if } \quad \rho-1 / p=\sigma-1 / q .
$$

Nessel and Wilmes [12] observed that the above estimate remains true also for $a \in F_{n}$ if $1 \leqslant p \leqslant 2$. There are, however, counterexamples for $2<p<\infty$ and $q=\infty$. The case $2<p<q<\infty$ seems to be open.

Example 3. Let $1 \leqslant q \leqslant 2 \leqslant p \leqslant \infty$. Then the Carl-Lewis inequality [7, 11] states that

$$
\|A\|_{\mathcal{P}_{q}} \leqslant c n^{1 / q-1 / p}\|A\|_{\mathcal{P}_{p}} \quad \text { for all } A \in \mathfrak{F}_{n}(E, F) \text { and } n=1,2, \ldots
$$

This yields the embeddings

$$
\mathfrak{P}_{p, u}^{\rho}(E, F) \subseteq \mathfrak{P}_{q, u}^{\sigma}(E, F) \quad \text { if } \quad \rho+1 / p=\sigma+1 / q .
$$

In particular, we get the inclusion $\mathfrak{L}_{1}^{1 / 2} \subseteq \mathfrak{P}_{2}$, which was first proved by König [9].

Remark. Another result of this type is due to the author [15, 18.6.3]. Let $\mathfrak{N}(E, F)$ denote the Banach space of all nuclear operators $T \in \mathfrak{L}(E, F)$ equipped with the norm $\|\cdot\|_{\mathscr{L}}$. Then

$$
\|A\|_{r} \leqslant n\|A\|_{\mathscr{\varphi}} \quad \text { for all } A \in \mathfrak{F}_{n}(E, F) \text { and } n=1,2, \ldots,
$$

and it follows that $\mathfrak{L}_{1}^{1}(E, F) \subseteq \mathfrak{N}(E, F)$. Furthermore, it turns out that many inclusions proved in the theory of operator ideals can be understood as embeddings within the context of approximation spaces.

### 3.5. Composition Theorem

Now we prove a so-called

Composition Theorem. Let $\left(X, A_{n}\right),\left(Y, B_{n}\right)$, and $\left(Z, C_{n}\right)$ be approximation schemes. If $M$ is a bounded bilinear map from $X \times Y$ into $Z$ such that $M\left(A_{n}, Y\right) \subseteq C_{n}$ and $M\left(X, B_{n}\right) \subseteq C_{n}$ for $n=1,2, \ldots$, then

$$
M\left(X_{u}^{\rho}, Y_{v}^{\sigma}\right) \subseteq Z_{x^{\prime}}^{\rho+\sigma} \quad \text { whenever } \quad 1 / u+1 / v=1 / w
$$

Proof. The assertion follows immediately from

$$
\alpha_{m+n-1}(M(f, g), Z) \leqslant\|M\| \alpha_{m}(f, X) \alpha_{n}(g, Y)
$$

and Hölder's inequality.
Example 1. Let $x \in l_{p}$ and $y \in l_{q}$. If $M(x, y)$ is defined to be the coordinatewise product $x \cdot y=\left(\xi_{m} \eta_{m}\right)$ of the sequences $x=\left(\xi_{m}\right)$ and $y=\left(\eta_{m}\right)$, then Hölder's inequality yields $M(x, y) \in l_{r}$ with $1 / r=1 / p+1 / q$. Consequently we have

$$
s_{p, u}^{\rho} \cdot s_{q, v}^{\sigma} \subseteq s_{r, w^{\prime}}^{\rho+\sigma} \quad \text { and } \quad b_{p, u}^{\rho} \cdot b_{q, v}^{\sigma} \subseteq b_{r, w}^{\rho+\sigma}
$$

Example 2. Let $f \in L_{p}$ and $g \in L_{q}$ such that $1 / p+1 / q \geqslant 1$. If $M(f, g)$ is defined to be the convolution

$$
f * g(s)=\int_{0}^{1} f(t) g(s-t) d t
$$

of the functions $f$ and $g$ (periodically extended on the real line), then it is well known that $M(f, g) \in L_{r}$ with $1 / r=1 / p+1 / q-1 ;$ cf. [23, Chap. II, 1]. Consequently we have

$$
S_{p, u}^{\rho} * S_{q, v}^{\sigma} \subseteq S_{r, w}^{\rho+\sigma} \quad \text { and } \quad B_{p, u}^{\rho} * B_{q, v}^{\sigma} \subseteq B_{r, w}^{\rho+\sigma}
$$

Example 3. Let $T \in \mathfrak{P}_{p}(E, F)$ and $S \in \mathfrak{P}_{q}(F, G)$ such that $1 / p+$ $1 / q \leqslant 1$. If $M(S, T)$ is defined to be the product $S T$ of the operators $S$ and $T$, then it is well-known that $S T \in \mathfrak{P}_{r}(E, G)$ with $1 / r=1 / p+1 / q$; cf. [15, 20.2.4 |. Consequently we get

$$
\mathfrak{P}_{q, v}^{\sigma}(F, G) \circ \mathfrak{P}_{p, u}^{\rho}(E, F) \subseteq \mathfrak{P}_{r, w}^{\rho+\sigma}(E, G)
$$

### 3.6. Commutation Theorem

Let $\left(X, A_{n}\right)$ be an approximation scheme, and consider the quasi-Banach space $\left[L_{p}, X_{u}^{\rho}\right]$. On the other hand, if $\left[L_{p}, A_{n}\right]$ consists of all $A_{n}$-valued functions $\underline{\mathbf{f}} \in\left[L_{p}, X\right]$, we get an approximation scheme $\left(\left[L_{p}, X\right],\left[L_{p}, A_{n}\right]\right)$. The corresponding approximation spaces will be denoted by $\left[L_{p}, X\right]_{u}^{\rho}$.

Commutation Theorem. There holds
(1) $\left[L_{p}, X_{u}^{p}\right] \subseteq\left[L_{p}, X\right]_{u}^{p} \quad$ if $0<p \leqslant u \leqslant \infty$
and
(2) $\quad\left[L_{p}, X_{u}^{p}\right] \supseteq\left[L_{p}, X\right]_{u}^{p} \quad$ if $0<u \leqslant p \leqslant \infty$ and $u \neq \infty$.

Proof. (1) We consider a measurable step-function $\mathbb{f}=\sum_{h=1}^{m} f_{h} \chi_{h}$, where $f_{1}, \ldots, f_{m} \in X_{u}^{p}$, and $\chi_{1}, \ldots, \chi_{m}$ are characteristic functions of pairewise disjoint measurable subsets $\Omega_{1}, \ldots, \Omega_{m}$. Choose $a_{h n} \in A_{n-1}$ such that $\left\|f_{h}-a_{h n}\right\|_{X} \leqslant 2 \alpha_{n}\left(f_{h}, X\right)$, and put

$$
\mathbf{a}_{n}:=\sum_{n=1}^{m} a_{n n} \chi_{n} .
$$

Let $0<p \leqslant u<\infty$. Since $\mathbf{a}_{n} \in\left[L_{p}, A_{n-1}\right]$, it follows that

$$
\begin{aligned}
\alpha_{n}\left(\mathbf{f},\left[L_{p}, X\right]\right) & \leqslant\left\|\underline{\mathbf{f}}-\underline{\mathbf{a}}_{n}\right\|_{\left[L_{p}, X\right]}=\left(\sum_{h=1}^{m}\left\|f_{h}-a_{h n}\right\|_{X}^{p} \mu_{h}\right)^{1 / p} \\
& \leqslant 2\left(\sum_{h=1}^{m} \alpha_{n}\left(f_{h}, X\right)^{p} \mu_{h}\right)^{1 / p} .
\end{aligned}
$$

Here $\mu_{h}$ denotes the Lebesgue measure of $\Omega_{h}$. Therefore we get

$$
\begin{aligned}
\|\underline{\mathbf{f}}\|_{\left[L_{p}, X\right]_{u}^{\rho}} & =\left\{\sum_{n=1}^{\infty}\left[n^{\rho-1 / u} \alpha_{n}\left(\underline{f},\left[L_{p}, X\right]\right)\right]^{u}\right\}^{1 / u} \\
& \leqslant 2\left\{\sum_{n=1}^{\infty}\left[n^{\rho-1 / u}\left(\sum_{h=1}^{m} \alpha_{n}\left(f_{h}, X\right)^{p} \mu_{h}\right)^{1 / p}\right]^{u}\right\}^{1 / u} \\
& \leqslant 2\left\{\sum_{h=1}^{m}\left(\sum_{n=1}^{\infty}\left[n^{\rho-1 / u} \alpha_{n}\left(f_{h}, X\right)\right]^{u}\right)^{p / u} \mu_{h}\right\}^{1 / p} \\
& \leqslant 2\left\{\sum_{h=1}^{m}\left\|f_{h}\right\|_{X_{u}^{o}}^{p} \mu_{h}\right\}^{1 / p}=2\|\mathbf{f}\|_{\left[L_{p}, X_{u}^{\rho}\right]} .
\end{aligned}
$$

So we have

$$
\|\underline{f}\|_{\left(L_{p}, X\right]_{u}} \leqslant 2\|\underline{f}\|_{\left(L_{p}, x_{u}\right)}
$$

for all $X_{u}^{\rho}$ valued measurable step-functions $\underline{\mathbf{f}}$. Now the assertion follows from the fact that these functions are dense in $\left[L_{p}, X_{u}^{\rho}\right]$.
(2) Let $\underline{\mathbf{f}} \in\left[L_{p}, X\right]_{u}^{p}$. Then there exists a representation $\underline{\mathbf{f}}=\sum_{k=0}^{\infty} \mathbf{a}_{k}$ such that $\underline{\mathbf{a}}_{k} \in\left[L_{p}, A_{2^{k}}\right]$ and $\left(2^{k \rho}\left\|\underline{\mathbf{a}}_{k}\right\|_{\left[L_{p}, x\right]}\right) \in l_{u}$. It can be shown that $\underline{\mathbf{f}}(s)=$ $\sum_{k=0}^{\infty} \underline{a}_{k}(s)$ almost everywhere. So, if $0<u \leqslant p<\infty$, we obtain

$$
\|\mathbf{f}(s)\|_{X_{\mu}}^{\text {rep }} \leqslant\left\{\sum_{k=0}^{\infty}\left[2^{k \rho}\left\|\underline{\mathbf{a}}_{k}(s)\right\|_{x}\right]^{u}\right\}^{1 / u},
$$

and therefore

$$
\begin{aligned}
\left\{\int_{0}^{1}\left(\|\underline{\mathbf{f}}(s)\|_{X_{k}^{p}}^{\mathrm{rep}}\right)^{p} d s\right\}^{1 / p} & \leqslant\left\{\int_{0}^{1}\left(\sum_{k=0}^{\infty}\left[2^{k \rho}\left\|\mathbf{a}_{k}(s)\right\|_{X}\right]^{u}\right)^{p / u} d s\right\}^{1 / p} \\
& \leqslant\left\{\sum_{k=0}^{\infty}\left[2^{k \rho}\left(\int_{0}^{1}\left\|\underline{\mathbf{a}}_{k}(s)\right\|_{X}^{p} d s\right)^{1 / p}\right]^{u}\right\}^{1 / u}<\infty
\end{aligned}
$$

This implies that $\underline{\mathbf{f}} \in\left[L_{p}, X_{u}^{p}\right]$.
Remark. If $u=\infty$, then we get into trouble with respect to the measurability of $\underline{\mathbf{f}}$ as an $X_{u}^{\rho}$-valued function.

Remark. An analog of the above Commutation Theorem is well known within the framework of interpolation spaces; cf. $[2,5.8 .6]$ and $[21,1.18 .4]$.

## 4. Applications

Now we apply the theory of approximation spaces in order to get results about the distribution of Fourier coefficients and eigenvalues.

### 4.1. Fourier Coefficients

For every scalar function $f \in L_{1}$ the sequence of Fourier coefficients is defined by

$$
\begin{aligned}
\xi_{1}(f) & :=\int_{0}^{1} f(s) d s \\
\xi_{2 m}(f) & :=2 \int_{0}^{1} f(s) \sin 2 \pi m s d s
\end{aligned}
$$

and

$$
\xi_{2 m+1}(f):=2 \int_{0}^{1} f(s) \cos 2 \pi m s d s
$$

There are many classical results concerning the problem how the asymptotic behaviour of $\left(\xi_{m}(f)\right)$ depends on certain properties of the function $f$; cf. [18]. All these facts are summarized in the following theorems.

Theorem 1. Let $1 / r=\rho+1 / 2$. Then the assertions $f \in S_{2, u}^{o}$ and $\left(\xi_{m}(f)\right) \in l_{r, u}$ are equivalent.

Proof. According to the Fischer-Riesz theorem $T f:=\left(\xi_{m}(f)\right)$ defines an isomorphism between $L_{2}$ and $l_{2}$. Hence the Transformation Theorem tells us that $T$ is also an isomorphism between $S_{2, u}^{\rho}$ and $s_{2, u}^{\rho}$. But the latter space coincides with $l_{r, u}$.

If $1 \leqslant p \leqslant \infty$, then $p^{\prime}$ denotes the conjugate exponent defined by $1 / p+$ $1 / p^{\prime}=1$, and we put $p^{+}:=\max \left(2, p^{\prime}\right)$.

Theorem 2. Let $1 / r=\rho+1 / p^{+}$. Then $f \in S_{p, u}^{\rho}$ implies $\left(\xi_{m}(f)\right) \in l_{r, u}$.
Proof. In the case $1 \leqslant p \leqslant 2$ we see from the Hausdorff-Young theorem that $T f:=\left(\xi_{m}(f)\right)$ defines an operator from $L_{p}$ into $l_{p^{\prime}}$. Now the assertion follows from the Transformation Theorem. If $2 \leqslant p \leqslant \infty$, then $S_{p, u}^{\rho} \subseteq S_{2, u}^{o}$ yields the conclusion.

Because of $B_{p, u}^{\rho} \subseteq S_{p, u}^{\rho}$ we also have
Theorem 3. Let $1 / r=\rho+1 / p^{+}$. Then $f \in B_{p, u}^{\rho}$ implies $\left(\xi_{m}(f)\right) \in l_{r, u}$.
Remark. Using examples constructed in [23, Chap. V, $2+4$ and VI,3] we can see that the preceding results are best possible.

### 4.2. Integral Operators

Let $K$ be a scalar kernel defined on the unit square. By $\mathbf{k}(s)$ we denote the function $K(s, \cdot)$ where $s$ is fixed. Then $\underline{\mathbf{k}}: s \rightarrow \mathbf{k}(s)$ is an abstract function. We say that the kernel $K$ belongs to $\left[B_{p, u}^{o}, B_{q, v}^{\sigma}\right]$ if this is true for the $B_{q, v}^{\sigma}$-valued function $\mathbf{k}$. Kernels of type $\left[L_{p}, L_{q}\right],\left[L_{p}, B_{q, v}^{\sigma}\right]$, and $\left[B_{p, u}^{o}, L_{q}\right]$ are defined analogously.

In the following we investigate integral operators

$$
S_{K}: g(t) \rightarrow f(s)=\int_{0}^{1} K(s, t) g(t) d t
$$

generated by a kernel $K$. A first result is well-known from the theory of absolutely $p$-summing operators; cf. [8].

Lemma 4. Let $1 / p+1 / q \leqslant 1$. Then
(0) $K \in\left[L_{p}, L_{q}\right] \Rightarrow S_{K} \in \mathfrak{P}_{q^{\prime}}\left(L_{q^{\prime}}, L_{q^{\prime}}\right)$.

Now we are in a position to establish
Theorem 4. Let $1 / p+1 / q \leqslant 1$. Then the following implications are true:
(1) $K \in\left[L_{p}, B_{q, v}^{\sigma}\right], \quad p \leqslant v \quad \Rightarrow \quad S_{K} \in \mathfrak{P}_{q^{\prime}, v}^{\sigma}\left(L_{q^{\prime}}, L_{q^{\prime}}\right)$,
(2) $K \in\left[B_{p, u}^{\rho}, L_{q}\right] \quad \Rightarrow \quad S_{K} \in \mathfrak{P}_{q^{\prime}, u}^{\rho}\left(L_{q^{\prime}}, L_{q^{\prime}}\right)$,
(3) $K \in\left[B_{p, u}^{\rho}, B_{q, v}^{\sigma}\right] \quad \Rightarrow \quad S_{K} \in \mathfrak{P}_{q^{\prime}, u}^{\rho+\sigma}\left(L_{q^{\prime}}, L_{q^{\prime}}\right)$.

Proof. We consider the operator $T$ transforming every kernel $K$ into the corresponding integral operator $S_{K}$. Then the Transformation Theorem, the Commutation Theorem, and Lemma 4 yield
(1) $\left.T\left(\mid L_{p}, B_{q, v}^{\sigma}\right]\right) \subseteq T\left(\left[L_{p}, L_{q}\right]_{v}^{\sigma}\right) \subseteq \mathfrak{P}_{q^{\prime}, v}^{\sigma}\left(L_{q^{\prime}}, L_{q^{\prime}}\right)$.

Applying once again the Transformation Theorem to (0) and (1), we obtain (2) and (3), respectively.

### 4.3. Convolution Operators

Let $f$ be a l-periodic scalar function defined on the real line. Then we put

$$
K_{f}(s, t):=f(s-t)
$$

The well-known theorem about the continuity of the shift operator yields
Lemma 5. Let $0<q<\infty$. Then
(0) $f \in L_{q} \quad \Rightarrow \quad K_{f} \in\left[L_{\infty}, L_{q}\right]$.

Now we get
Theorem 5. Let $0<q<\infty$. The following implications are true:
(1) $f \in B_{q, v}^{\sigma}, \quad v \neq \infty \quad \Rightarrow \quad K_{f} \in\left[L_{\infty}, B_{q, v}^{\sigma}\right]$,
(2) $f \in B_{q, u}^{\rho+\sigma} \quad \Rightarrow \quad K_{f} \in\left[B_{\infty, u}^{o}, B_{q, v}^{\sigma}\right]$.

Proof. We consider the operator $T$ transforming every scalar function $f$ into the corresponding convolution kernel $K_{f}$. Then the Transformation Theorem, the Commutation Theorem, and Lemma 5 yield
(1) $T\left(B_{q, v}^{\sigma}\right) \subseteq\left[L_{\infty}, L_{q}\right]_{v}^{\sigma} \subseteq\left[L_{\infty}, B_{q, v}^{\sigma}\right]$.

Applying once again the Transformation Theorem to (1) we obtain (2).

### 4.4. Eigenvalues of Operators

In the sequel let $E$ be a complex Banach space. Since every operator $S \in \mathfrak{P}_{p, u}^{\rho}(E, E)$ can be approximated by operators of finite rank, it follows from Riesz's theory that $S$ possesses a set of eigenvalues which is at most countable. Let $\left(\lambda_{n}(S)\right)$ denote the sequence of these eigenvalues counted according to their multiplicities and ordered such that $\left|\lambda_{1}(S)\right| \geqslant\left|\lambda_{2}(S)\right| \geqslant$ $\cdots \geqslant 0$. If $S$ has less than $n$ eigenvalues, then we put $\lambda_{n}(S)=0$. Now we
state an interesting generalization of Weyl's theorem which goes back to Carl and König [10].

Theorem 6. Let $2 \leqslant p \leqslant \infty$ and $1 / r=\rho+1 / p$. Then $S \in \mathfrak{P}_{p, u}^{p}(E, F)$ implies $\left(\lambda_{n}(S)\right) \in l_{r, u}$.

Proof. First we treat the case where $S \in \mathfrak{P}_{2, u}^{\rho}(E, E)$. Then there exists a representation $S=\sum_{k=0}^{\infty} S_{k}$ such that $S_{k} \in \mathscr{F}_{2^{k}}(E, E)$ and $\left(2^{k \rho}\left\|S_{k}\right\|_{\rho_{2}}\right) \in l_{u}$. As shown in the theory of absolutely 2 -summing operators [15, 17.3.7], we can find factorizations $S_{k}=X_{k} A_{k}$ with $A_{k} \in \mathfrak{L}\left(E, l_{2}^{2 k}\right)$ and $X_{k} \in \mathfrak{L}\left(l_{2}^{2 k}, E\right)$ such that

$$
\left\|A_{k}\right\|_{\mathscr{P}_{2}}^{2}=\left\|X_{k}\right\|_{\mathscr{\mathscr { L }}}^{2}=\left\|S_{k}\right\|_{\mathscr{P}_{2}}
$$

Consider $l_{2}$ as the orthogonal sum of the spaces $l_{2}^{2 k}$ with $k=0,1, \ldots$. Let $J_{k} \in$ $\mathfrak{L}\left(l_{2}^{2 k}, l_{2}\right)$ and $Q_{k} \in \mathcal{L}\left(l_{2}, l_{2}^{2 k}\right)$ denote the canonical injections and surjections, respectively. Then it follows from $\left(2^{k \rho}\left\|A_{k}\right\|_{\rho_{2}}^{2}\right) \in l_{u}$ and $\left(2^{k \rho}\left\|X_{k}\right\|_{\mathscr{P}}^{2}\right) \in l_{u}$ that
$A:=\sum_{k=0}^{\infty} J_{k} A_{k} \in \mathfrak{P}_{2,2 u}^{\rho / 2}\left(E, l_{2}\right) \quad$ and $\quad X:=\sum_{k=0}^{\infty} X_{k} Q_{k} \in \mathfrak{Q}_{2 u}^{\rho / 2}\left(l_{2}, E\right)$.
Since $S=X A$ is related to $T=A X$, we have $\lambda_{n}(S)=\lambda_{n}(T)$; cf. [15, 27.3.3]. Moreover, using $\mathfrak{P}_{2}\left(l_{2}, l_{2}\right)=\mathfrak{L}_{2}^{1 / 2}\left(l_{2}, l_{2}\right)$, the Reiteration Theorem, and the Composition Theorem, we get

$$
T \in \mathfrak{P}_{2,2 u}^{\rho / 2}\left(E, l_{2}\right) \circ \mathfrak{L}_{2 u}^{\rho / 2}\left(l_{2}, E\right)=\mathfrak{P}_{2, u}^{\rho}\left(l_{2}, l_{2}\right)=\mathfrak{L}_{u}^{\rho+1 / 2}\left(l_{2}, l_{2}\right) .
$$

Hence the classical Weyl theorem yields $\left(\lambda_{n}(T)\right) \in l_{r, u}$ with $1 / r=\rho+1 / 2$. This proves the assertion for $p=2$.

Now we suppose that $2<p \leqslant \infty$ and $\rho+1 / p>1 / 2$. Then it follows from the Embedding Theorem that $\mathfrak{P}_{p, u}^{o}(E, E) \subseteq \mathfrak{P}_{2, u}^{\sigma}(E, E)$, where $\sigma:=\rho+1 / p-$ $1 / 2$. Consequently, for $S \in \mathfrak{P}_{p, u}^{p}(E, E)$ we get $\left(\lambda_{n}(S)\right) \in l_{r, u}$ with $1 / r=\sigma+$ $1 / 2=\rho+1 / p$.

Unfortunately the above method does not work for $\rho+1 / p \leqslant 1 / 2$. However, in this case we can apply König's interpolation procedure as presented in $[8,9]$.

Remark. If $p=\infty$ and $\rho \leqslant 1 / 2$, then it is possible to choose a natural number $m$ such that $m \rho>1 / 2$. Now we obtain from $S \in \mathfrak{P}_{u}^{o}(E, E)$ that $S^{m} \in$ $\mathfrak{£}_{u / m}^{m \rho}(E, E)$, and therefore $\left(\lambda_{n}\left(S^{m}\right)\right) \in l_{r / m, u / m}$ with $1 / r=\rho$. Hence, by the Spectral Mapping Theorem, it follows that $\left(\lambda_{n}(S)\right) \in l_{r, u}$.

Summarizing Theorems 4 and 6 we get some interesting results about the distribution of eigenvalues of integral operators.

Theorem 7. Let $1 / p+1 / q \leqslant 1$. The following implications are true:
(1) $K \in\left[L_{p}, B_{q, v}^{\sigma}\right], p \leqslant v \Rightarrow\left(\lambda_{n}\left(S_{K}\right)\right) \in l_{r, v}$ with $1 / r=\sigma+1 / q^{+}$,

$$
\begin{equation*}
K \in\left[B_{p, u}^{\rho}, L_{q}\right] \Rightarrow\left(\lambda_{n}\left(S_{K}\right)\right) \in l_{r, u} \text { with } 1 / r=\rho+1 / q^{+} \tag{2}
\end{equation*}
$$

$$
\begin{equation*}
K \in\left[B_{p, u}^{\rho}, B_{q, v}^{\sigma}\right] \quad \Rightarrow \quad\left(\lambda_{n}\left(S_{K}\right)\right) \in l_{r, u} \text { with } 1 / r=\rho+\sigma+ \tag{3}
\end{equation*}
$$

Remark. Using another method the author [19] was able to show that the condition $1 / p+1 / q \leqslant 1$ can be replaced by the weaker assumptions $1 / p+1 / q<p+\sigma+1$ and $1 \leqslant p, q \leqslant \infty$.

Finally we recall that the (complex) Fourier coefficients

$$
\begin{aligned}
\lambda_{1}(f) & :=\int_{0}^{1} f(s) d s \\
\lambda_{2 m}(f) & :=\int_{0}^{1} f(s) \exp (-2 \pi i m s) d s \\
\lambda_{2 m+1}(f) & :=\int_{0}^{1} f(s) \exp (2 \pi i m s) d s
\end{aligned}
$$

coincide with the eigenvalues of the convolution operator generated by the kernel $K_{f}$. Moreover, we have

$$
\begin{gathered}
\lambda_{1}(f)=\xi_{1}(f), \quad \lambda_{2 m+1}(f)+\lambda_{2 m}(f)=\xi_{2 m+1}(f), \\
\lambda_{2 m+1}(f)-\lambda_{2 m}(f)=i \xi_{2 m}(f) .
\end{gathered}
$$

Therefore, summarizing Theorems 5 and 7 we once again get the statement of Theorem 3.

Remark. The counterexamples constructed in the theory of trigonometrical series show that the results stated in Theorem 7 are best possible.

## Acknowledgments

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